

Linking integrals in three-dimensional geometries

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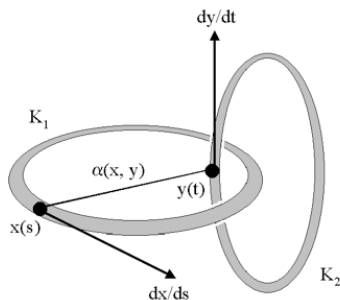
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Gauss linking integral

Carl Friedrich Gauss, in a half-page paper dated January 22, 1833, gave an integral formula for the linking number in Euclidean 3-space,

$$\text{Link}(K_1, K_2) = \int_{K_1 \times K_2} \frac{d\mathbf{x}}{ds} \times \frac{d\mathbf{y}}{dt} \cdot \frac{\mathbf{x} - \mathbf{y}}{4\pi|\mathbf{x} - \mathbf{y}|^3} ds dt.$$



General goal

Our goal is to define geometrically natural linking integrals for each of the eight homogeneous three-dimensional geometries

$$\mathbb{R}^3, \quad S^3, \quad H^3, \quad S^2 \times \mathbb{R}, \quad H^2 \times \mathbb{R},$$

$$\text{Nil}, \quad \text{Sol}, \quad SL(2, \mathbb{R})$$

and at least some of their higher-dimensional generalizations.

“Geometrically natural” in this context means that the integrands should be invariant under orientation-preserving isometries of the ambient spaces.

Another expression for the Gauss integral

Another way to write Gauss's formula is to define the double-form

$$\Phi_{1,1}(\mathbf{x}, \mathbf{y}) = \frac{\omega_{1,1}}{4\pi|\mathbf{x} - \mathbf{y}|^3}$$

on $\mathbb{R}^3 \times \mathbb{R}^3$, where

$$\begin{aligned}\omega_{1,1} = & (y_1 - x_1)(dx_2 \otimes dy_3 - dx_3 \otimes dy_2) + (y_2 - x_2)(dx_3 \otimes dy_1 - dx_1 \otimes dy_3) \\ & + (y_3 - x_3)(dx_1 \otimes dy_2 - dx_2 \otimes dy_1).\end{aligned}$$

Thinking of the curves K_1 and K_2 as maps from S^1 into \mathbb{R}^3 , we define

$$\text{Link}(K_1, K_2) = \iint_{S^1 \times S^1} \mathbf{X}^* \Phi_{1,1}$$

where $\mathbf{X} = (\mathbf{x}, \mathbf{y})$ is the product mapping.

Double forms

- A *double-form* is a differential form on $M \times M$ which can be viewed either as a differential form on the first factor with coefficients being differential forms on the second factor, or vice versa.
- A (p, q) -form has of degree p over the first M factor and of degree q over the second. For example, a $(2, 1)$ -form on $\mathbb{R}^3 \times \mathbb{R}^3$ can be expressed as:

$$f(\mathbf{x}, \mathbf{y}) dx_2 \wedge dx_3 \otimes dy_1 + \dots$$

and so on for nine terms.

- For such forms, we have exterior derivatives $d_{\mathbf{x}}$ and $d_{\mathbf{y}}$ which commute with each other and have other standard properties such as $d_{\mathbf{x}}^2 = d_{\mathbf{y}}^2 = 0$, etc.

Linking integrals

We wish to calculate the linking number of K and L via an integral of the form

$$\text{Link}(K, L) = \int_{K \times L} \Phi_{1,1}(\mathbf{x}, \mathbf{y}),$$

where $\mathbf{x} \in K$ and $\mathbf{y} \in L$ and $\Phi_{1,1}$ is an appropriately-chosen isometry-invariant (1,1)-form on $(M) \times (M)$. The form $\Phi_{1,1}$ will be singular along the diagonal Δ of $(M) \times (M)$, but will be smooth otherwise.

Link-homotopy invariance

If there is a $(2,0)$ -form $\Psi_{2,0}(\mathbf{x}, \mathbf{y})$ having the property that $d_{\mathbf{y}}\Psi_{2,0} = d_{\mathbf{x}}\Phi_{1,1}$ as $(2,1)$ -forms on $M \times M \setminus \Delta$, define the ordinary 1-form

$$\Omega_1(\mathbf{x}) = \int_L \Phi_{1,1}(\mathbf{x}, \mathbf{y})$$

on $M \setminus L$ by integrating $\Phi_{1,1}$ over the curve L for each $\mathbf{x} \notin L$. Then we will have

$$d_{\mathbf{x}}\Omega_1 = d_{\mathbf{x}} \int_L \Phi_{1,1}(\mathbf{x}, \mathbf{y}) = \int_L d_{\mathbf{x}}\Phi_{1,1}(\mathbf{x}, \mathbf{y}) = \int_L d_{\mathbf{y}}\Psi_{2,0}(\mathbf{x}, \mathbf{y}) = 0,$$

by Stokes's Theorem. So Ω_1 is a closed 1-form (in \mathbf{x}) on $M \setminus L$, and the value of

$$\int_K \Omega_1(\mathbf{x}) = \int_{K \times L} \Phi_{1,1}(\mathbf{x}, \mathbf{y}) = \text{Link}(K, L)$$

depends only on the homology class of K within $M \setminus L$, so K can be deformed without affecting the value of the linking integral as long as K never meets L .

Link-homotopy invariance

- Likewise, if there is a $(0,2)$ -form $\Psi_{0,2}(\mathbf{x}, \mathbf{y})$ having the property that $d_{\mathbf{x}}\Psi_{0,2} = d_{\mathbf{y}}\Phi_{1,1}$ as $(1,2)$ -forms on $(M \times M) \setminus \Delta$ we can deform L as long as it never meets K and not affect the value of the linking integral.

Link-homotopy invariance

- Likewise, if there is a $(0,2)$ -form $\Psi_{0,2}(\mathbf{x}, \mathbf{y})$ having the property that $d_{\mathbf{x}}\Psi_{0,2} = d_{\mathbf{y}}\Phi_{1,1}$ as $(1,2)$ -forms on $(M \times M) \setminus \Delta$ we can deform L as long as it never meets K and not affect the value of the linking integral.
- Our goal will be to produce isometry-invariant forms $\Phi_{1,1}$, $\Psi_{2,0}$ and $\Psi_{0,2}$ and to show that they satisfy the differential equations $d_{\mathbf{y}}\Psi_{2,0} = d_{\mathbf{x}}\Phi_{1,1}$ and $d_{\mathbf{x}}\Psi_{0,2} = d_{\mathbf{y}}\Phi_{1,1}$.

Write $\mathbf{x} = (x_0, x_1, \dots, x_n)$ and $\mathbf{y} = (y_0, y_1, \dots, y_n)$ for points in \mathbb{R}^{n+1} , and $\langle \mathbf{x}, \mathbf{y} \rangle_{\pm}$ for the inner product on \mathbb{R}^{n+1} given by

$$\langle \mathbf{x}, \mathbf{y} \rangle_{\pm} = x_0 y_0 \pm (x_1 y_1 + x_2 y_2 + \cdots + x_n y_n).$$

We will then view $S^n \subset \mathbb{R}^{n+1}$ as

$$S^n = \{\mathbf{x} \in \mathbb{R}^{n+1} \mid \langle \mathbf{x}, \mathbf{x} \rangle_+ = 1\},$$

$H^n \subset \mathbb{R}^{n+1}$ as

$$H^n = \{\mathbf{x} \in \mathbb{R}^{n+1} \mid \langle \mathbf{x}, \mathbf{x} \rangle_- = 1, x_0 > 0\},$$

and $\mathbb{R}^n \subset \mathbb{R}^{n+1}$ as

$$\mathbb{R}^n = \{\mathbf{x} \in \mathbb{R}^{n+1} \mid x_0 = 1\}.$$

Group actions

There are natural group actions on \mathbb{R}^{n+1} that restrict to groups of isometries on S^n , H^n and \mathbb{R}^n , namely the standard actions of $SO(n+1)$, $SO_+(1, n)$ and $E(n)$ respectively, where $E(n)$ is the group of Euclidean motions of \mathbb{R}^n . An element of $E(n)$ is given by the matrix

$$\left[\begin{array}{c|c} 1 & \mathbf{0} \\ \hline \mathbf{v} & R \end{array} \right],$$

where $R \in SO(n)$ and $\mathbf{v} \in \mathbb{R}^n$, so this matrix rotates \mathbb{R}^n according to the matrix R and then translates by \mathbf{v} .

Basic invariant forms

Let \mathbf{x} and \mathbf{y} be two points in \mathbb{R}^{n+1} . For each k and ℓ satisfying $k + \ell = n - 1$, define a differential form $\omega_{k,\ell}$ as follows:

For $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k \in T_{\mathbf{x}}\mathbb{R}^{n+1}$ and $\mathbf{w}_1, \mathbf{w}_2, \dots, \mathbf{w}_\ell \in T_{\mathbf{y}}\mathbb{R}^{n+1}$,

$$\omega_{k,\ell}(\mathbf{x}, \mathbf{y}; \mathbf{v}_1, \dots, \mathbf{v}_k; \mathbf{w}_1, \dots, \mathbf{w}_\ell) = \|\mathbf{x}, \mathbf{y}, \mathbf{v}_1, \dots, \mathbf{v}_k, \mathbf{w}_1, \dots, \mathbf{w}_\ell\|.$$

Formally think of $\omega_{k,\ell}$ as the determinant

$$\frac{1}{k!\ell!} \|\mathbf{x}, \mathbf{y}, dx, \dots, dx, dy, \dots, dy\|$$

More forms

Two other families of forms for $k + \ell = n$:

$$\alpha_{k,\ell}(\mathbf{x}, \mathbf{y}; \mathbf{v}_1, \dots, \mathbf{v}_k; \mathbf{w}_1, \dots, \mathbf{w}_\ell) = \|\mathbf{x}, \mathbf{v}_1, \dots, \mathbf{v}_k, \mathbf{w}_1, \dots, \mathbf{w}_\ell\|,$$

$$\beta_{k,\ell}(\mathbf{x}, \mathbf{y}; \mathbf{v}_1, \dots, \mathbf{v}_k; \mathbf{w}_1, \dots, \mathbf{w}_\ell) = \|\mathbf{y}, \mathbf{v}_1, \dots, \mathbf{v}_k, \mathbf{w}_1, \dots, \mathbf{w}_\ell\|.$$

Forms restrict in a natural way to M , are invariant under the action of G . Also write:

$$\alpha_{k,\ell} = \frac{1}{k!\ell!} \|\mathbf{x}, d\mathbf{x}, \dots, d\mathbf{x}, d\mathbf{y}, \dots, d\mathbf{y}\|$$

$$\beta_{k,\ell} = \frac{1}{k!\ell!} \|\mathbf{y}, d\mathbf{x}, \dots, d\mathbf{x}, d\mathbf{y}, \dots, d\mathbf{y}\|.$$

Define exterior differential operators, d_x and d_y . Check that

$$d_x \omega_{k,\ell} = \frac{1}{k!\ell!} \|d\mathbf{x}, \mathbf{y}, d\mathbf{x}, \dots, d\mathbf{x}, d\mathbf{y}, \dots, d\mathbf{y}\| = -(k+1)\beta_{k+1,\ell}$$

and

$$d_y \omega_{k,\ell} = \frac{1}{k!\ell!} \|\mathbf{x}, d\mathbf{y}, d\mathbf{x}, \dots, d\mathbf{x}, d\mathbf{y}, \dots, d\mathbf{y}\| = (-1)^k (\ell+1)\alpha_{k,\ell+1}.$$

Distance functions

- Let $\sigma = \sigma(\mathbf{x}, \mathbf{y}) = \langle \mathbf{x}, \mathbf{y} \rangle_+$. The geodesic distance α between two points \mathbf{x} and \mathbf{y} on S^n is $\alpha = \arccos \sigma$.
- Likewise, if $\sigma = \langle \mathbf{x}, \mathbf{y} \rangle_-$, the geodesic distance α between two points \mathbf{x} and \mathbf{y} on H^n is $\alpha = \operatorname{arccosh} \sigma$.
- And of course the distance between two points \mathbf{x} and \mathbf{y} on \mathbb{R}^n is $\alpha = ((\mathbf{y} - \mathbf{x}) \cdot (\mathbf{y} - \mathbf{x}))^{1/2}$ and we let $\sigma = \frac{1}{2}(\mathbf{y} - \mathbf{x}) \cdot (\mathbf{y} - \mathbf{x})$ in this case.

Linking integrands

To construct linking integrands, need an identity of the form:

$$d_{\mathbf{x}}[\varphi(\sigma)\omega_{1,1}] = d_{\mathbf{y}}[\psi(\sigma)\omega_{2,0}],$$

where σ is a function of the distance $\alpha(\mathbf{x}, \mathbf{y})$ between \mathbf{x} and \mathbf{y} . On S^3 and H^3 , use $\sigma = \langle \mathbf{x}, \mathbf{y} \rangle_{\pm}$, so that $\sigma = \cos \alpha$ and $\sigma = \cosh \alpha$ respectively.

On S^3 (and H^3), we have

$$d_{\mathbf{x}}[\varphi(\sigma)\omega_{1,1}] = \varphi'(\sigma) [\alpha_{2,1} - \sigma\beta_{2,1}] - 2\varphi(\sigma)\beta_{2,1}$$

and

$$d_{\mathbf{y}}[\psi(\sigma)\omega_{2,0}] = -\psi'(\sigma) [\beta_{2,1} - \sigma\alpha_{2,1}] + \psi(\sigma)\alpha_{2,1}.$$

Differential equations for φ and ψ

Because $\alpha_{2,1}$ and $\beta_{2,1}$ are independent we need the coefficients of both to be equal for these quantities to be equal. So φ and ψ must satisfy:

$$\begin{aligned}\varphi'(\sigma) - \sigma\psi'(\sigma) - \psi(\sigma) &= 0 \\ \sigma\varphi'(\sigma) + 2\varphi(\sigma) - \psi'(\sigma) &= 0.\end{aligned}$$

Derive that φ satisfies the second-order equation

$$(1 - \sigma^2)\varphi'' - 5\sigma\varphi' - 4\varphi = 0. \quad (*)$$

Likewise

$$(1 - \sigma^2)\psi'' - 5\sigma\psi' - 3\psi = 0.$$

Thus we can find functions ψ and χ so that

$$d_{\mathbf{x}}[\varphi\omega_{1,1}] = d_{\mathbf{y}}[\psi\omega_{2,0}] \quad \text{and} \quad d_{\mathbf{y}}[\varphi\omega_{1,1}] = d_{\mathbf{x}}[\chi\omega_{0,2}].$$

We refer to this fact as the *Key Lemma*.

Solution on \mathbb{R}^3

On \mathbb{R}^3 the differential equation for $\varphi(\sigma)$ analogous to (*) is:

$$2\sigma\varphi'' + 5\varphi' = 0 \quad \text{which has general solution} \quad \varphi(\sigma) = \frac{C_1}{\sigma^{3/2}} + C_2.$$

We want φ to decay to zero when $\sigma \rightarrow \infty$, so $C_2 = 0$.

By considering a single nontrivial example, we get that $C_1 = 1/\text{vol}(S^2)$ so

$$\text{Link}(K, L) = \frac{1}{4\pi} \iint_{K \times L} \frac{\omega_{1,1}}{\sigma^{3/2}}$$

just as Gauss did.

Solution on S^3

On S^3 ,

$$\varphi = c_1 \frac{\sigma}{(1 - \sigma^2)^{3/2}} + c_2 \frac{\sqrt{1 - \sigma^2} - \sigma \arccos \sigma}{(1 - \sigma^2)^{3/2}}.$$

We need

$$\lim_{\sigma \downarrow -1} \varphi(\sigma)$$

to be finite, and considering a single non-trivial example (two orthogonal linked great circles), we conclude that

$$c_2 = \varphi(0) = \frac{1}{4\pi^2} \quad \text{and} \quad c_1 = \frac{1}{4\pi}.$$

This determines the linking integral on S^3 .

Now consider $M = H^2 \times \mathbb{R}$, and write $\langle \mathbf{v}, \mathbf{w} \rangle$ rather than $\langle \mathbf{v}, \mathbf{w} \rangle_- = v_0 w_0 - v_1 w_1 - v_2 w_2$ for the Minkowski inner product on \mathbb{R}^3 .

View $H^2 \subset \mathbb{R}^3$ as the set $\{\bar{\mathbf{x}}(x_0, x_1, x_2) \mid \langle \bar{\mathbf{x}}, \bar{\mathbf{x}} \rangle = 1\}$. Write the induced inner product on H^2 as $\mathbf{v} \cdot \mathbf{w} = -\langle \bar{\mathbf{v}}, \bar{\mathbf{w}} \rangle$.

$H^2 \times \mathbb{R}$ is diffeomorphic to \mathbb{R}^3 .

Isometry group

The connected component of the isometry group of $H^2 \times \mathbb{R}$ is the product of those of H^2 and \mathbb{R} , namely $G = SO_+(1, 2) \times \mathbb{R}$.

Recall that $SO_+(1, 2)$ is the three-dimensional *restricted Lorentz group*, i.e., the Lie group of transformations of \mathbb{R}^3 which preserve the indefinite inner product we are using (hence the ‘O’ and the ‘1,2’), and which both preserve the orientation of \mathbb{R}^3 (hence the ‘S’) and which independently preserve the orientation of space and the direction of “time” (i.e., of x_0 , hence the ‘+’). The \mathbb{R} factor of G acts by translation on the \mathbb{R} factor of $H^2 \times \mathbb{R}$.

The the isometry group acts transitively on $H^2 \times \mathbb{R}$, however, since the group is only 4-dimensional, it cannot act transitively on the unit tangent bundle of $H^2 \times \mathbb{R}$, which is 5-dimensional.

Invariant functions

The distance $D(\mathbf{x}, \mathbf{y})$ between two points \mathbf{x} and \mathbf{y} in $H^2 \times \mathbb{R}$ satisfies

$$D^2 = (\operatorname{arccosh} \langle \bar{\mathbf{x}}, \bar{\mathbf{y}} \rangle)^2 + (x_3 - y_3)^2.$$

On $H^2 \times \mathbb{R}$, two independent isometry-invariant two-point functions are

$$\sigma(\mathbf{x}, \mathbf{y}) = \langle \bar{\mathbf{x}}, \bar{\mathbf{y}} \rangle = x_0 y_0 - x_1 y_1 - x_2 y_2 \quad \text{and} \quad \tau(\mathbf{x}, \mathbf{y}) = x_3 - y_3.$$

Any isometry-invariant two-point function can be expressed as $F(\sigma(\mathbf{x}, \mathbf{y}), \tau(\mathbf{x}, \mathbf{y}))$. For instance, the distance $D(\mathbf{x}, \mathbf{y})$ between the two points \mathbf{x} and \mathbf{y} on M satisfies $D^2 = (\operatorname{arccosh} \sigma)^2 + \tau^2$, as noted above.

Key Lemma

Since $((H^2 \times \mathbb{R}) \times (H^2 \times \mathbb{R})) \setminus \Delta$ is simply connected, we have

A necessary and sufficient condition for the integral

$$\int_{K \times L} \Phi_{1,1}$$

to be link-homotopy invariant (i.e., to remain unchanged under deformations of the simple closed curves K and L which keep K and L disjoint) is that $\Phi_{1,1}$ be smooth and

$$d_y d_x \Phi_{1,1} = 0$$

on $((H^2 \times \mathbb{R}) \times (H^2 \times \mathbb{R})) \setminus \Delta$.

Invariant forms

We assume that

$$\Phi_{1,1} = f(\sigma, \tau) \omega_{1,0} \otimes dy_3 + g(\sigma, \tau) dx_3 \otimes \omega_{0,1} + p(\sigma, \tau) \alpha_{1,1} + q(\sigma, \tau) \beta_{1,1},$$

where $\sigma = \langle \bar{x}, \bar{y} \rangle$ and $\tau = x_3 - y_3$ are the invariant functions on $(H^2 \times \mathbb{R}) \times (H^2 \times \mathbb{R})$. We calculate:

$$\begin{aligned} d_y d_x \Phi_{1,1} &= d_y d_x (f \omega_{1,0} \otimes dy_3 + g dx_3 \otimes \omega_{0,1} + p \alpha_{1,1} + q \beta_{1,1}) \\ &= ((1 - \sigma^2) f_{\sigma\sigma} - 4\sigma f_\sigma - 2f + \sigma p_{\sigma\tau} + 2p_\tau + q_{\sigma\tau}) \gamma_{2,1} \wedge dy_3 \\ &\quad + ((\sigma^2 - 1) g_{\sigma\sigma} + 4\sigma g_\sigma + 2g + p_{\sigma\tau} + \sigma q_{\sigma\tau} + 2q_\tau) \gamma_{1,2} \wedge dx_3 \\ &\quad + (\sigma f_{\sigma\tau} + f_\tau + g_{\sigma\tau} - p_{\tau\tau}) \alpha_{1,1} \wedge dx_3 \wedge dy_3 \\ &\quad - (f_{\sigma\tau} + \sigma g_{\sigma\tau} + g_\tau + q_{\tau\tau}) \beta_{1,1} \wedge dx_3 \wedge dy_3. \end{aligned}$$

Differential equations

From this we have

Let

$$\Phi_{1,1} = f \omega_{1,0} \otimes dy_3 + g dx_3 \otimes \omega_{0,1} + p \alpha_{1,1} + q \beta_{1,1}$$

In order for the linking integral to be link-homotopy invariant we need

$$0 = (1 - \sigma^2)f_{\sigma\sigma} - 4\sigma f_{\sigma} - 2f + \sigma p_{\sigma\tau} + 2p_{\tau} + q_{\sigma\tau}$$

$$0 = (1 - \sigma^2)g_{\sigma\sigma} - 4\sigma g_{\sigma} - 2g - p_{\sigma\tau} - \sigma q_{\sigma\tau} - 2q_{\tau}$$

$$0 = \sigma f_{\sigma\tau} + f_{\tau} + g_{\sigma\tau} - p_{\tau\tau}$$

$$0 = f_{\sigma\tau} + \sigma g_{\sigma\tau} + g_{\tau} + q_{\tau\tau}$$

on $((H^2 \times \mathbb{R}) \times (H^2 \times \mathbb{R})) \setminus \Delta$.

Geometric strategy for solving the system

If K is given by $\mathbf{x}(s)$ and L by $\mathbf{y}(t)$ then for each pair of points $\mathbf{x}(s), \mathbf{y}(t)$ we construct the unit “pointing” vectors $\mathbf{p}_{yx}(s, t) \in T_{\mathbf{x}}(H^2 \times \mathbb{R})$ and $\mathbf{p}_{xy}(s, t) \in T_{\mathbf{y}}(H^2 \times \mathbb{R})$ that point along the geodesic from $\mathbf{x}(s)$ to $\mathbf{y}(t)$ and from $\mathbf{y}(t)$ to $\mathbf{x}(s)$ respectively.

Calculate the projection of the area spanned by $\partial\mathbf{p}_{yx}/\partial s$ and $\partial\mathbf{p}_{yx}/\partial t$ onto the tangent plane at \mathbf{p}_{yx} to the unit sphere in $T_{\mathbf{x}}(H^2 \times \mathbb{R})$, and the projection of the area spanned by $\partial\mathbf{p}_{xy}/\partial t$ and $\partial\mathbf{p}_{xy}/\partial s$ onto the tangent plane at \mathbf{p}_{xy} to the unit sphere in $T_{\mathbf{y}}(H^2 \times \mathbb{R})$.

The average of these gives the value of our linking integral candidate evaluated at $(\mathbf{x}, \mathbf{y}) \in (H^2 \times \mathbb{R}) \times (H^2 \times \mathbb{R})$ on the vectors $d\mathbf{x}/ds \in T_{\mathbf{x}}(H^2 \times \mathbb{R})$ and $d\mathbf{y}/dt \in T_{\mathbf{y}}(H^2 \times \mathbb{R})$.

It turns out that the (1,1)-form $\Phi_{1,1}$ obtained in this way is the correct linking integrand on $H^2 \times \mathbb{R}$.

Theorem (with Matt Klein and Lianxin He)

The linking form on $H^2 \times \mathbb{R}$ is

$$\Phi_{1,1} = \frac{1}{8\pi} \left(\frac{(\operatorname{arccosh} \sigma)^2}{((\operatorname{arccosh} \sigma)^2 + \tau^2)^{3/2}(\sigma - 1)} (dx_3 \otimes \omega_{0,1} - \omega_{1,0} \otimes dy_3) \right. \\ \left. + \frac{\tau \operatorname{arccosh} \sigma}{((\operatorname{arccosh} \sigma)^2 + \tau^2)^{3/2} \sqrt{\sigma^2 - 1}} (\alpha_{1,1} + \beta_{1,1}) \right)$$

The Heisenberg group

The usual way to think of the Heisenberg group is as the set of 3-by-3 matrices

$$H = \left\{ \left[\begin{array}{ccc} 1 & p & r \\ 0 & 1 & q \\ 0 & 0 & 1 \end{array} \right] \mid p, q, r \in \mathbb{R} \right\},$$

but we will use a slightly different representation via 4-by-4 matrices as follows:

$$H = \left\{ \left[\begin{array}{cccc} 1 & a & b & c \\ 0 & 1 & 0 & b \\ 0 & 0 & 1 & -a \\ 0 & 0 & 0 & 1 \end{array} \right] \mid a, b, c \in \mathbb{R} \right\}.$$

Isometries and invariant functions

For the left-invariant metric on the Heisenberg group, the isometry group is the semi-direct product of the group of left translations with the one-dimensional group of rotations in the first two coordinates. If we view H as the subset of \mathbb{R}^4 given by $(x_1, x_2, x_3, 1)$, then all isometries can be viewed as linear transformations of \mathbb{R}^4 which preserve the hyperplane $x_4 = 1$.

If F is any function of two points $\mathbf{x} = (x_1, x_2, x_3, 1)$ and $\mathbf{y} = (y_1, y_2, y_3, 1)$ invariant under the action of the isometry group, then the derivative of F is zero along Killing fields. Obtain that any isometry-invariant two-point function on H is dependent upon the “common sense” two-dimensional distance function

$$\sigma = (x_1 - y_1)^2 + (x_2 - y_2)^2 \quad \text{and} \quad \tau = y_3 - x_3 - x_1 y_2 + x_2 y_1.$$

Basic differential forms

Since the isometries are unimodular transformations of \mathbb{R}^4 , we can use the same basic differential forms $\omega_{k,l}$ and $\alpha_{k,l}$ as before.

Two other families of invariant forms we will need are

$$a_{2,0} = dx_1 \wedge dx_2, \quad a_{1,1} = dx_1 \otimes dy_2 - dx_2 \otimes dy_1, \quad a_{0,2} = dy_1 \wedge dy_2$$

and

$$w_{1,0} = (y_2 - x_2)dx_1 - (y_1 - x_1)dx_2, \quad w_{0,1} = (y_2 - x_2)dy_1 - (y_1 - x_1)dy_2.$$

Finally, let

$$\begin{aligned} T_{1,1} &= w_{1,0} \otimes w_{0,1} \\ &= (y_2 - x_2)^2 dx_1 \otimes dy_1 - (y_1 - x_1)(y_2 - x_2)(dx_1 \otimes dy_2 + dx_2 \otimes dy_1) \\ &\quad + (y_1 - x_1)^2 dx_2 \otimes dy_2 \end{aligned}$$

The Key Lemma

Let¹

$$\Phi_{1,1} = F(\sigma, \tau)(\omega_{1,1} + T_{1,1})$$

and

$$\Psi_{2,0} = F(\sigma, \tau)\omega_{2,0} \quad \Psi_{0,2} = -F(\sigma, \tau)\omega_{0,2}.$$

We want to choose F so that

$$d_x \Phi_{1,1} = d_y \Psi_{2,0} \quad \text{and} \quad d_y \Phi_{1,1} = d_x \Psi_{0,2}.$$

A calculation yields that we need

$$2\sigma F_\sigma + \tau F_\tau + 3F = 0.$$

And this is a PDE that we can solve!

¹The form of $\Phi_{1,1}$ given here comes *post facto*, we began by considering a more general form for $\Phi_{1,1}$, namely $F\omega_{1,1} + GT_{1,1} + H\alpha_{1,1}$, and we learned that we could choose $F = G$ and $H = 0$.

Solutions

We need a solution that is valid for $\sigma \geq 0$ and all τ , and which becomes singular precisely when $\sigma = \tau = 0$, and behaves like $1/\text{distance}^3$ at the singularity. The general solution is

$$F(\sigma, \tau) = \frac{1}{\sigma^{3/2}} \varphi\left(\frac{\tau}{\sqrt{\sigma}}\right)$$

for an arbitrary function φ of one variable.

Solutions which have the proper singularity are:

$$F(\sigma, \tau) = \frac{C}{(A\sigma + B\tau^2)^{3/2}},$$

which comes from choosing $\varphi(x) = C/(A + Bx^2)^{3/2}$.

Since the distance function behaves like $\sqrt{\sigma + \tau^2}$ near $\sigma = \tau = 0$, we choose $A = B = 1$.

Almost there

So our candidate for the linking form on the Heisenberg group is

$$\Phi_{1,1} = \frac{C}{(\sigma + \tau^2)^{3/2}} (\omega_{1,1} + T_{1,1}).$$

To determine C , we need only calculate a single example since we know that the linking formula we obtain is link-homotopy invariant. Let K be the circle given by $\mathbf{x}(s) = (\cos s, \sin s, 0)$ for $s \in [0, 2\pi)$, and let L be the square given in pieces by

$$\begin{aligned} \mathbf{y}(t) &= (0, 0, t) && \text{for } -M \leq t < M \\ &= (t - M, 0, M) && \text{for } M \leq t < 3M \\ &= (2M, 0, 4M - t) && \text{for } 3M \leq t < 5M \\ &= (7M - t, 0, -M) && \text{for } 5M \leq t < 7M \end{aligned}$$

It is easy to show that the contribution to the linking integral from the latter three pieces approach zero as $M \rightarrow \infty$, so the linking number of K and L (which is 1) is given by the limit of the linking integral over $K \times$ the first segment as $M \rightarrow \infty$.

Theorem (with Matt Klein and Paul Gallagher)

If K and L are disjoint closed curves in H , then

$$\text{Link}(K, L) = \iint_{S^1 \times S^1} \mathbf{X}^* \Phi_{1,1},$$

where

$$\Phi_{1,1} = -\frac{1}{4\pi} \frac{\omega_{1,1} + T_{1,1}}{(\sigma + \tau^2)^{3/2}},$$

The differential form $\Phi_{1,1}$ is invariant under isometries of H .